

**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK**

FIRE & POLICE PENSION ASSOCIATION OF
COLORADO, individually and on behalf of all those
similarly situated,

Plaintiffs,

v.

No. 1:18-cv-00342

BANK OF MONTREAL, BMO FINANCIAL CORP.,
BMO NESBITT BURNS INC., BMO CAPITAL
MARKETS CORP., BANK OF AMERICA MERRILL
LYNCH CANADA INC., DEUTSCHE BANK AG,
DEUTSCHE BANK SECURITIES INC., DEUTSCHE
BANK SECURITIES LIMITED, THE BANK OF
NOVA SCOTIA, SCOTIA CAPITAL (USA) INC.,
SCOTIA CAPITAL INC., CANADIAN IMPERIAL
BANK OF COMMERCE, CIBC WORLD MARKETS
CORP., CIBS WORLD MARKETS, INC., HSBC
HOLDINGS PLC, HSBC BANK PLC, HSBC NORTH
AMERICA HOLDINGS INC., HSBC SECURITIES
(USA) INC., HSBC BANK CANADA, NATIONAL
BANK OF CANADA, NATIONAL BANK
FINANCIAL INC., NATIONAL BANK OF CANADA
FINANCIAL INC., ROYAL BANK OF CANADA,
RBC DOMINION SECURITIES INC., RBC CAPITAL
MARKETS, LLC, TORONTO-DOMINION BANK, TD
SECURITIES INC., TD SECURITIES (USA) LLC,

Defendants.

RULE 7.1 DISCLOSURE

Pursuant to Fed. Rule Civ. P. 7.1, Defendants HSBC Holdings plc, HSBC Bank plc, HSBC North America Holdings, Inc., HSBC Securities (USA) Inc., and HSBC Bank Canada disclose that: (1) HSBC Holdings plc is a publicly held company with no parent company and, to the best of its knowledge, no publicly held corporation owns 10% or more of its stock; (2) HSBC Bank plc is a wholly owned subsidiary of HSBC Holdings plc; (3) HSBC North America Holdings, Inc. is an indirect, wholly owned subsidiary of HSBC Holdings plc; (4) HSBC

Securities (USA) Inc. is an indirect, wholly owned subsidiary of HSBC Holdings plc; and (5)
HSBC Bank Canada is an indirect, wholly owned subsidiary of HSBC Holdings plc.;

Dated: New York, New York
March 1, 2018

/s/

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